

## Privacy notice – (Collection Statement)

Burrell Stockbroking Pty Ltd ABN 82 088 958 481, AFSL No 247431 (referred to as “Burrell Stockbroking”, “Burrell”, “Burrell’s”, “we” and “us”) and its related entities recognises that the privacy of your personal information is important to you and as part of our commitment to customer service, Burrell is committed to protecting the privacy of any personal information it collects from you

Burrell Stockbroking may collect information directly from you in person, by email or telephone or from third parties acting on your behalf, in accordance with its Privacy Policy and the requirements of the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth) for the purpose of:

- providing you with stockbroking, financial planning and superannuation services; carrying out investments on your behalf as well as managing and reporting to you on your investments;
- Administer your accounts and perform other administrative tasks including reporting on your investments, risk management, systems development and testing, staff training, collecting debts and conducting market research;
- providing back office, clearing and settlement services to you;
- establishing accounts or other facilities, including banking facilities, on your behalf with third party financial institutions, and administering your accounts or other facilities;
- conducting our internal business operations (including meeting any relevant legal requirements);
- managing client relationships and improving the services we provide;
- providing you with up to date information about financial and superannuation products and services we offer or events through the Burrell Bourse, broadcasts and other promotional materials;
- prevent or investigate any actual or suspected unlawful activity and
- assessing applications for employment.

We may also collect personal information about you when you attend our presentations or seminars and we film or record those events.

If you do not give us the requested personal information, or if the information you give us is incomplete or inaccurate, we may be delayed or prevented from providing you any products or services, carrying any transaction for you, providing information to you, processing any application or otherwise meeting our obligations to you.

We may disclose your personal information:

- Internally to our staff;
- To our related bodies corporate;
- To any financial or investment adviser that you nominate or who refers you to us, including to financial institutions where we may establish accounts or other facilities

on your behalf;

- To our professional advisers such as Self-managed superannuation fund administrators, auditors, accountants and lawyers, insurance companies, consistent with normal business practices;
- To third parties we may engage from time to time to assist us in the promotion of our products and services, and who may receive limited personal information for that purpose;
- To external service providers in Australia, United States, United Kingdom, Singapore, Canada, Switzerland, New Zealand, Malaysia and Luxembourg (on a confidential basis) so they can provide us services related to our business, for example international share execution, mailing services, IT services, unit registry and custodial services, archives services. Additionally, some client information is held in cloud data storage provided by Microsoft. Microsoft is headquartered in the USA, but maintains storage facilities worldwide;
- Your employer if your superannuation is invested in an employer sponsored corporate superannuation;
- Any fund to which your superannuation benefits are transferred or rolled over;
- Where required or authorised by law; and
- Where you consent to the disclosure.

Some of our third party contractors and service providers may perform certain services overseas. As a result, your personal information may be disclosed to a recipient in a foreign country, including the United States, United Kingdom, Singapore, Canada, Switzerland, New Zealand, Malaysia and Luxembourg.

Our Privacy Policy, available as a hard copy upon request or online at [www.burrell.com.au](http://www.burrell.com.au), provides information about how you may access and seek correction of your personal information we hold as well as complain about our handling of your personal information.

For further information contact our Company Secretary, on 07 3006 7200 during business hours or email [info@burrell.com.au](mailto:info@burrell.com.au).

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