

Selecting your service level

Advisor office use only	
Account #:	
Advisor Name:	

➤ Wealth Management = **Investment Management**
+
Financial Planning

➤ Investment Management = **Portfolio Management or Advice**
+
Performance Measurement

Service level	
<input type="checkbox"/>	<p>Wealth Management i.e. Investment Management and Financial Planning (personal advice) - Retainer -Advice on investments, other financial products and financial services are tailored to suit you and takes into consideration your financial circumstances, investment objectives and needs. So that we can provide this service, we require enough information about you to provide the personalised advice. Our Wealth Management Advisors are trained to provide expert advice on technical and personal issues in relation to your financial situation. We provide financial advice from simple saving plans to complex superannuation and retirement advice.</p>
<input type="checkbox"/>	<p>Superannuation Advice (personal advice) – Retainer Superannuation Advice is Wealth Management limited to superannuation. We will provide Investment Management or Portfolio Advice, and Financial Planning, limited to your superannuation only. Advice may include ongoing superannuation advice on structures such as SMSF, contributions, investments strategy, investment performance, fund operating costs, accumulation and pension Accounts, establishing a pension account, paying pensions and distribution of death benefits. Regular reviews are recommended, at least yearly, to ensure contributions, pensions and investments strategy continue to meet legislative requirements and to be appropriate to the member/s.</p>
<input type="checkbox"/>	<p>Portfolio Advice (personal advice) - Advice is scaled and based on an investment portfolio or financial product alone in accordance with your investment objectives.</p>
<input type="checkbox"/>	<p>General Advice - I/We have not provided details of my/our investment objectives or personal information and understand that ‘general advice’ only will be provided. Transactions undertaken are a result of your instructions, and no personal advice will be provided. If you choose this service, you do not need to complete any other forms.</p> <p>For General Advice clients we draw your attention to the following warning, which applies to every instance of advice we provide to you:</p> <p>(a) the advice has been prepared without taking account of your objectives, financial situation or needs;</p> <p>(b) because the advice has been prepared without taking account of your objectives, financial situation or needs, you should, before acting on the advice, consider the appropriateness of the advice, having regard to your objectives, financial situation and needs;</p> <p>(c) if the advice relates to the acquisition of a financial product, you should obtain a PDS for that product</p>

<input type="checkbox"/>	<p>Execution Only Service - I/We have not provided details of my/our investment objectives or personal information and understand that 'no advice' only will be provided. Transactions undertaken are a result of your instructions, and no advice will be provided. If you choose this service, you do not need to complete any other forms.</p> <p>For Execution only Client we draw your attention to the following warning:</p> <ul style="list-style-type: none"> a) You have not asked for or received advice; b) the transaction is execution only; c) it is your decision alone to take out the investment; <i>and</i> <p>Burrell is taking no responsibility for the product's suitability for your financial situation and needs</p>
<input type="checkbox"/>	<p>Trading - Limited Personal Advice – Advice relating to the operation of a trading account only. We consider the pursuit of short term gains in the market by means of speculative trading to be a highly risky business. Your chances of success may be improved by developing a trading plan. <i>Please complete</i> Trading Acknowledgement Form.</p>

PLEASE ENSURE ALL PARTIES TO THIS AGREEMENT SIGN			
Signature of Individual/Director/Trustee		Date	/ /
	Name (print)		
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	Name (print)		
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	Name (print)		